



Rec'd PCT/PTO 24 FEB 2005

10525466

INVESTOR IN PEOPLE

## PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

REC'D 10 OCT 2003

WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 22 September 2003

BEST AVAILABLE COPY



300002 074465-1 D0019  
P01/7700 0.00-0220063.2



## Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

1. Your reference

P85602 PEJ

2. Patent application number

(The Patent Office will fill in this part)

29 AUG 2002

0220063.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Isis Innovation Limited  
Ewert House, Ewert Place  
Summertown  
Oxford OX2 7SG

Patents ADP number (if you know it) 03998564003

If the applicant is a corporate body, give the country/state of its incorporation

British body corporate

4. Title of the invention

MAGNETIC PARTICLE AND PROCESS FOR PREPARATION

5. Name of your agent (if you have one)

J.A. KEMP &amp; CO.

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

14 South Square  
Gray's Inn  
London  
WC1R 5JJ

Patents ADP number (if you know it)

00000026001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

See note (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document

Continuation sheets of this form

Description 14

Claim(s)

3

Abstract

1

Drawing(s)

1 

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

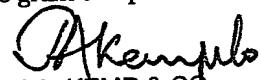
Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents  
(please specify)

I/We request the grant of a patent on the basis of this application.

11. Signature

  
J.A. KEMP & CO.

Date 29 August 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

PGA Ellis-Jones  
020 7405 3292

**Warning**

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

**Notes**

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

MAGNETIC PARTICLE AND PROCESS FOR PREPARATION

5 The present invention relates to composite magnetic particles and to a process for preparation thereof.

Superparamagnetic nanoparticles are known which comprise small particles of ferromagnetic or ferrimagnetic material. The superparamagnetic effect manifests itself as a thermally-activated rotation of the magnetic dipole of such particles within 10 a particular time frame of interest. In order to be superparamagnetic, a magnetic particle has to have a diameter of less than about 10 to 20nm, depending on the particle morphology and magnetic anisotropy of the material in question. Thus there has been a constraint on the choice of particle size that can be obtained. It has been known to use a magnetic nanoparticle as the core of a larger particle, but this greatly 15 reduces the magnetic response. Thus there are problems with tailoring the desired physical properties of magnetic particles, such as their surface properties, size and magnetic properties. Previously, there have also been problems in avoiding agglomeration during preparation of such particles.

It is an object of the present invention to alleviate, at least partially, any of the 20 above problems.

Accordingly, the present invention provides a particle comprising a core surrounded by a shell which comprises a plurality of nanoparticles of a magnetic material, the shell being surrounded by a continuous outer shell which comprises a non-magnetic material. The fact that a plurality of magnetic nanoparticles form the 25 shell surrounding a core means that the magnetic properties of the nanoparticles are retained such that the overall particle has the effective bulk properties of a superparamagnetic particle, and the magnetic response is much stronger than a particle of the same size with just a magnetic nanoparticle as the core. This structure also has the feature that tuning of the core size and shell thickness allows a 30 modulation of the magnetic behaviour of the overall particle.

Thermal relaxation of the magnetic moment of the nanoparticles forming the

shell means that they exhibit the superparamagnetic effect at ambient conditions.

When these nanoparticles are used to form a shell of a particle according to the invention, it is possible for their collective behaviour to remain superparamagnetic at ambient conditions, despite the fact that a similarly sized particle of pure magnetic material, such as magnetite, would be ferrimagnetic (i.e as in the case of bulk magnetite) and would form aggregates with other particles. The invention can enable the formation of large superparamagnetic particles with large effective dipole moments, but with no magnetic coagulation at ambient conditions.

The outer shell further assists in binding the shell of magnetic nanoparticles firmly to the core and may advantageously protect the magnetic particles from the environment, for example to alleviate problems such as oxidation of the magnetic material. Oxidation of magnetite can result in a non-magnetic product which would be detrimental to the properties of the particle. The outer shell can also act as a barrier, for example preventing the diffusion of iron from the magnetic layer to a luminescent layer which may be provided (described below), which diffusion would cause quenching of the luminescence. The outer shell can form a smooth surface to the overall particle and can provide the overall particle with well-characterised surface properties. In this way, the resulting particle can have the effective surface properties of a non-magnetic particle, but the effective bulk properties of a superparamagnetic particle. The smooth outer shell can also be used as a base for deposition of an additional coating, such as a luminescent layer.

Advantageously the outer shell is a homogeneous material, as a result of its method of formation, for example by a sol-gel process, and provides a barrier to desorption of the magnetic nanoparticles and a barrier to attack from substances from the outside. A sol-gel coating outer shell can have some degree of porosity, but tailoring the thickness of the outer shell can mitigate the permeability of the shell to certain species.

Preferably the core size is in the range of 50 nm to 10  $\mu\text{m}$  (in the case of a substantially spherical core this size would represent the diameter), and preferably the core comprises a non-magnetic material, such as silicon dioxide, titanium

dioxide, yttrium oxide, yttrium basic carbonate, hematite, alumina, or any silicate.

Preferably the thickness of the shell of nanoparticles of magnetic material is in the range of from 2 nm to one fifth of the core size. Preferably the shell comprises a mono layer of nanoparticles and preferably the magnetic material comprises one or 5 more selected from the group consisting of iron, cobalt, nickel, magnetite, maghemite and ferrite.

Preferably the particle may further comprise an inner shell between the core and the shell of nanoparticles of magnetic material. The inner shell can assist in binding the magnetic nanoparticles to the core. Preferably the inner shell comprises 10 at least one layer of polyions which enable the binding to be predominantly electrostatic in nature. When the inner shell comprises a single layer of polymer, the core and the magnetic nanoparticles must be of the same net charge polarity and of opposite polarity to the inner shell. Alternatively, the inner shell can comprise a plurality of layers of polyions. This has the advantage of more reliably forming a 15 continuous shell of polymer over the core, and hence binding an optimum amount of magnetic nanoparticles to the core. In the case of multiple layers of polyions, successive layers are of alternate polarity, such that each layer is electrostatically bound to the layer immediately underneath it. The charge on the innermost polymer layer must oppose the net charge on the core and the charge on the outermost 20 polymer layer must oppose the net charge on the magnetic nanoparticles.

Preferably the thickness of the inner shell is in the range of from approximately 1 to 3 nm, and preferably the polyions are derived from one or more polyelectrolytes selected from the group consisting of poly(diallyldimethyl ammonium chloride) (polycations), poly(sodium styrene sulfonate) (polyanions), 25 polyallylamine hydrochloride (polycations), and polyethylenimine (polycations).

Preferably the outer shell comprises a non-magnetic material, such as an inorganic oxide, basic carbonate or silicate, for example silicon dioxide, titanium dioxide, yttrium oxide, yttrium basic carbonate, or any silicate, and preferably the thickness of the outer shell is in the range of from approximately 1 to 200 nm.

30 A further functional coating can be provided on the particle, typically formed

surrounding the outer shell.

Advantageously, the outer coating can comprise a shell formed from a metal, such as gold. The resulting particle exhibits a plasmon resonance, so absorbs electromagnetic radiation at particular frequencies. The wavelength of the plasmon resonance can be tuned by selecting the parameters of the particle, such as the thickness of the shell and the diameter of the particle.

Alternatively, the coating can advantageously comprise a luminescent material, such as an inorganic oxide such as a rare earth oxide doped with a luminescent ion, typically a rare earth, for example yttrium oxide doped with europium.

Another aspect of the invention provides a 1D chain comprising a plurality of particles described above.

A further aspect of the invention provides a process for preparing a magnetic particle, the process comprising:

15 a first step of providing a core;  
a second step of adsorbing an inner shell to the core;  
a third step of providing a plurality of nanoparticles of a magnetic material;  
a fourth step of adsorbing the nanoparticles to the inner shell; and  
a fifth step of synthesising an outer shell surrounding the particle.

20 This method according to the invention enables particles to be prepared having tailored physical properties, which exhibit the effective bulk properties of a superparamagnetic particle, and the effective surface properties of a non-magnetic particle.

Preferably the fourth step is carried out using a short-chain alcohol, e.g. of 1 to 6 carbon atoms such as ethanol, as solvent. The permittivity of the alcohol is less than that of water and the electrostatic interaction between the nanoparticles and the inner shell is stronger in this solvent, so a more tightly bound shell of nanoparticles can be formed.

30 Preferably the fourth and fifth steps are carried out using the same solvent. This is advantageous because it has been found that transferring the product of the fourth step to a different solvent for the fifth step can result in the agglomeration of

the particles and the subsequent coating of aggregates, rather than individual particles, with an outer shell. Preferably the solvent for both the fourth and fifth steps is ethanol.

Preferably step 5 is carried out under ultrasonic agitation, for example as 5 provided by an ultrasonic probe or by immersion of the reaction vessel in an ultrasonic bath. This alleviates agglomeration of the particles during the initial stages of their coating with the outer shell. Other agitation methods can fail to prevent agglomeration. Preferably the ultrasonic agitation is at relatively low frequency, such as a frequency in the range of from approximately 40 to 80 kHz.

10 Preferably the second step comprises adsorbing polyions provided from a solution of polyelectrolyte and an inorganic salt. Preferably the salt is water soluble. Preferably the salt is an alkali metal salt. Preferably the salt is an alkali metal halide such as potassium chloride or sodium chloride. The presence of the salt improves the flexibility of the polyions and hence allows better wrapping of the polymer round the 15 core.

Advantageously the second step comprises layer by layer growth of polyions of alternate polarity to form the inner shell. In this way a more continuous inner shell can be formed to enable better binding of nanoparticles. The layers can be grown using electrostatic attraction whilst the particles remain stable with respect to 20 aggregation.

Preferably said fourth step comprises mixing a solution of coated core particles derived from the second step with a solution of nanoparticles of magnetic material, wherein the number of core particles and number of nanoparticles in said mixed solutions are calculated such that substantially complete coverage of each core 25 particle with a shell of nanoparticles is enabled.

Preferably, in said fifth step the amount of material to form the outer shell is calculated taking into account the desired thickness of the outer shell and the space between the nanoparticles of magnetic material.

30 Embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1 depicts schematically a particle according to the present invention in cross-section, and an enlarged portion thereof;

Figure 2 illustrates schematically examples of different possible structures for an inner shell;

5 Figure 3 shows schematically a cross-section of a portion of a particle for assisting in explaining the optimal volume of outer shell material needed.

Firstly will be described a particle embodying the invention, followed by a process according to an embodiment of the invention for preparation of particles, and then uses of particles according to the invention.

10 A particle embodying the invention is illustrated in Figure 1. This and the other figures are purely schematic and not to scale so that the relative dimensions of the various components are not necessarily accurate, but merely for illustration. The left hand side of Figure 1 shows a cross-section through the particle and the right hand side shows a detailed enlarged portion of the cross section.

15 The particle comprises a core 10 composed of silicon dioxide with a diameter of approximately 300nm. The core 10 is surrounded by an inner shell 12 composed of polymer, and in particular polyions derived from dissociable polymers (polyelectrolytes). Examples of the structure of the inner shell are given below with reference to Figure 2.

20 Surrounding the inner shell 12 is a shell 14 which comprises a plurality of nanoparticles 16. In this specific example, the nanoparticles are formed of magnetite ( $Fe_3O_4$ ) and have a mean diameter of approximately 10 nm, but this is merely a non-limitative example. Preferred criteria to be satisfied by the magnetic nanoparticles are that they have a diameter less than one fifth of the diameter of core; they form a stable colloidal dispersion in water or simply dissolve; and they possess a zeta potential of greater than 25 mV or less than -25 mV in water or ethanol.

25 Surrounding the shell 14 of nanoparticles 16 of magnetic material is an outer shell 18 of non-magnetic material, in this example silicon dioxide.

30 A further layer or coating (not shown) can be provided on the particle surrounding the outer shell 18. This coating can be functional, depending on the

application to which the particle is to be put. According to one embodiment, the particle is provided with a coating of a shell of gold. The resulting particle has an absorption spectrum characteristic of that of a plasmon resonant particle with a dielectric core. By selecting the thickness of the shell and the diameter of the 5 particle, the plasmon resonance can be tuned such that, for example, the position of the resonance can be anywhere from the visible part of the electromagnetic spectrum to the deep infrared.

Alternatively, the coating can comprise a luminescent material, such as yttrium oxide doped with europium, such as for use as a phosphor in a display or for 10 magnetic particle crack detection.

In another embodiment, the outer coating can provide biological functionality, for example by comprising a particular protein.

Returning to the structure of the inner shell 12, Figure 2 depicts three different embodiments. In Fig. 2(a), where both the core 10 and the magnetic 15 particles 16 are of the same charge polarity, for example anionic in the case of a silica core and magnetite particles, then a single layer of oppositely charged polymer could be used, for example poly(diallyldimethyl ammonium chloride) (PDADMAC). In the case in which the core 10 and magnetic particle shell 14 are of opposite polarity, then the inner shell 12 comprises two layers of opposite polarity, as shown in of 20 Figure 2(b). More layers can be provided in order to provide optimal binding of the magnetic particles. In general, where the core 10 and magnetic particles have the same polarity, the inner shell 12 comprises an odd number of layers, and where the core 10 and magnetic particle have opposite polarity, the inner shell 12 comprises an even number of layers. The polymer layers are adsorbed in a sequence of alternating 25 charge polarities. The charge on the innermost polymer layer must be of opposite polarity to the charge on the core 10 and the charge on the outer most polymer layer must be of opposite polarity to the magnetic particles 16. For example, as shown in Figure 2(c), to bind anionic magnetite nanoparticles to an anionic silica core 10, a three-layer inner shell 12 of polymer was used. This comprised an inner layer of 30 PDADMAC, a layer of poly(sodium styrene sulfonate) (PSS) and an outer layer of

PDADMAC.

According to a further embodiment, the particle can comprise a plurality of shells of nanoparticles of magnetic material. The additional shells of nanoparticles of magnetic material can be grown using a layer-by-layer process, as described below, 5 and may optionally be separated by one or more layers of polyions of appropriate polarity, as discussed above with reference to Figure 2.

Again it is possible to incorporate one or more layers (shells) of nanoparticles of non-magnetic material over the shell(s) of magnetic material before the continuous outer shell. Such nanoparticles include metals such as gold or silver, a 10 semiconductor material or a ceramic material (EXAMPLES).

Next, an embodiment of a method, according to the invention, for preparing magnetic particles will be described.

Step 1. Core Synthesis

15 Nanoparticles of silicon dioxide (silica) can be synthesised by the so-called "Stöber Process" by reaction between an alkoxy silane and an alkali such as ammonia, typically in a solvent such as ethanol (W. Stöber, A. Fink, E. Bohn, *J. Colloid Interface Sci.* 1968, 26, 62). Depending on several parameters, the resulting particles have a mean size of between 50 and 500 nm. Further Stöber growth on pre-existing cores can result in ripening of the nanospheres into microspheres and a 20 narrowing of the size distribution. Hence, particles of pure silica are obtainable with mean sizes between about 50nm and several microns. Other techniques such as miscible non-solvent addition to sodium silicate or microemulsion synthesis can be used to obtain diameters less than about 50nm.

25 In a typical Stöber synthesis, 45mL of dry absolute ethanol and 4.5mL of 25% ammonia solution were stirred vigorously in a glass beaker. 1.5mL of dry tetraethoxysilane was added rapidly to the beaker. Stirring was continued for 12 hours during which time the solution became turbid, indicating the formation of particles. Particle diameters were measured by dynamic light scattering (DLS) and 30 transmission electron microscopy (TEM). According to both techniques, the

distribution was bimodal with mean diameters of about 230 and 400nm. This colloid was used to demonstrate that the magnetic nanoparticles could be adsorbed onto polydisperse cores. Highly monodisperse cores can be attained by careful tuning of the Stöber process reactants and conditions.

5 After formation of the silica particles, the colloid containing them was centrifuged at 3000 RCF for 30 minutes and the solid pellet redispersed in 50mL absolute ethanol. This washing procedure was repeated two more times and then three times with a 1.3mM aqueous solution of potassium chloride as the dispersant. The zeta potential of the silica colloid was measured by an electrophoresis technique.

10 At a pH of approximately 6 the zeta potential was found to be typically -40mV. The concentration of silica particles (in particles per volume) can be calculated assuming 100% yield and 10% particle porosity. For example, the concentration of the abovementioned particles after redispersion in 50mL aqueous solution was  $2.78 \times 10^{11}$  particles/mL.

15

Step 2. Inner shell growth: Polymer coating

As an example of polymer coating by the layer-by-layer technique (R. A. Caruso, A. Susha, F. Caruso, *Chem. Mat.* 2001, 13, 400), three layers of polymer were adsorbed onto anionic silica particles with a zeta potential in 1.3mM aqueous potassium chloride solution of -40mV. The colloid was centrifuged at 3000 RCF for 30 minutes and the solid pellet was redispersed in 50mL of a 1 mg/mL solution of poly(diallyldimethylammonium chloride) which also had a 0.1M concentration of potassium chloride. The presence of this salt is necessary to enable optimum flexibility of the polyions and hence wrapping of the individual colloidal particles.

20 The colloid was left for between 30 minutes and 5 hours (preferably at least 3 hours) during which time the positive polyions adsorbed to the particles' surfaces. To remove non-adsorbed polyions the solution was centrifuged at 2000 RCF for at least 15 minutes and the solid pellet redispersed in 50mL of 1.3mM aqueous potassium chloride solution. This washing procedure was repeated two more times with longer

25 centrifugation times of at least 30 minutes. The zeta potential of anionic silica

nanoparticles coated with polydiallyldimethylammonium ions in 1.3mM potassium chloride was measured to be about +38mV.

To form a further polymer coating, the particles were centrifuged and redispersed in a 1 mg/mL solution of poly(sodium styrenesulfonate) which also had a 5 0.1M concentration of potassium chloride. The solution was left for between 30 minutes and 5 hours (preferably at least 3 hours). The subsequent washing step was identical to that carried out after the first polymer coating. The zeta potential of anionic silica nanoparticles coated with polydiallyldimethylammonium and polystyrenesulfonate ions in 1.3mM potassium chloride was measured to be about 10 -28mV.

A third polymer coating, also of polydiallyldimethylammonium ions was formed by exact repetition of the procedure to form the first polymer coating. the zeta potential of anionic silica nanoparticles coated with such a three-layer polymer coating was measured to be about +48mV.

15

### Step 3. Magnetic nanoparticle synthesis

Anionic nanoparticles of magnetic iron oxide were synthesised from a water soluble iron salt and ammonia by a co-precipitation method based on that originally reported by Massart (R. Massart, *IEEE Trans. Magn.* 1981, **17**, 1247). Control over 20 the iron oxide particle size can be achieved by adding small amounts of sodium citrate or by heating. 1.63g iron (II) chloride tetrahydrate and 4.35g iron (III) chloride hexahydrate were dissolved in 190 mL deionised water. Under vigorous stirring 10mL of 25% aqueous ammonia solution was added. A black precipitate formed rapidly and was stirred for at least 10 minutes. The precipitate was separated by 25 centrifugation and magnetic separation. The precipitate was redispersed in deionised water and washed two further times. To peptise the particles 3mL of an 80% tetramethylammonium hydroxide solution was added. To remove any large aggregates, the colloid was filtered through a 0.2 micron PTFE membrane filter. The final colloidal particles had an estimated diameter of 10nm and a concentration of 30 approximately  $1.67 \times 10^{16}$  particles per mL.

Step 4. Intermediate shell formation: Magnetic nanoparticle attachment to core

Magnetic nanoparticles of iron oxide synthesised as per step 3 were attached to silica cores synthesised and polymer coated as per steps 1 and 2. The approximate 5 number of iron oxide nanoparticles required to coat a single silica sphere was calculated based on a geometrical model whereby polymer coatings are assumed to contribute negligibly to the core diameter and the iron oxide nanocrystals are spherical with a mean diameter of between 2 and 15nm. For example, a core with diameter 328nm would require 4303 nanoparticles of 10nm diameter in order to fully 10 coat it. In this simple model, the surface area of the core sphere is divided by the area of a circle of diameter equal to the mean diameter of the nanoparticles to give the approximate total number of nanoparticles required to fully coat the core. Given the concentration of cores and magnetic nanoparticles in solution this calculation enables the approximate calculation of quantities of each solution to cause complete 15 coverage of cores by the intermediate shell nanoparticles.

To prepare the polymer coated cores for intermediate shell adsorption the core colloid was centrifuged at 2000 RCF for 30 minutes and the solid pellet redispersed in 50mL absolute ethanol. This washing step was repeated twice further. At this point the iron oxide colloid is water-based whilst the inner-shell coated cores are in 20 ethanol. Having calculated the correct amount of iron oxide colloid to add, the cores solution is firstly diluted with ethanol to a volume at least 10 times the volume of iron oxide colloid that will be added. This avoids agglomeration i.e. with the core solution being more dilute. The solution of the magnetic nanoparticles is then mixed with the solution of polymer coated cores.

25

Step 5. Outer shell growth

The outer shell can be grown by many existing sol-gel techniques; in this specific example, a modification of the core silicon dioxide (silica) synthesis procedure was used to allow the coating of the intermediate shell with a continuous 30 shell of silica; the particles are dispersed in ammonia solution and the alkoxy silane

added. To calculate the correct amount of silica to add, a geometrical model was employed. Referring to Figure 3, assuming a required silica shell thickness of  $t$  it is necessary also to calculate the approximate "spare" volume 20 created by having a nanoparticulate intermediate shell. The spare volume 20 can be obtained by

5 subtracting the total volume of the nanoparticles 16 from the volume of a spherical shell of thickness equal to the mean diameter of the nanoparticles 16. For example, a colloidal suspension of nanoparticles was produced as described in steps 1-4 above with a mean core diameter of 313nm and an intermediate shell nanoparticle mean diameter of 10nm. In order to form a 10nm thick outer shell, it was necessary to add

10 1.3 times the amount of silica needed for the actual shell. An example of this outer shell growth involved coating of a 10mL solution of 328nm diameter intermediate shell particles (concentration =  $8.3 \times 10^{10}$  part/mL) with a 18nm thick shell by diluting the particles with 35mL of dry absolute alcohol and 1.6mL 25% ammonia solution. The solution was sonicated for 1 hour, followed by 1 hour standing, in a Decon

15 FS100b ultrasonic bath during the shell growth, which was initiated by adding 0.37mL of tetraethoxysilicate solution. The particles were then washed 3 times in ethanol and 3 times in 1.3mM potassium chloride solution.

A thicker outer shell 18 can be built up in layers, for example by repeating the above sol-gel process to add 25nm thick layers at a time.

20

#### Further Embodiments

A further embodiment of the invention will now be described in which a metal coating is provided on the magnetic particle. The magnetic particles synthesised as described in Steps 1-5 were coated with gold, desirably after one or

25 more layers of polyions have been deposited. A layer of polydiallyldimethylammonium ions were adsorbed onto the outer shell of the particles formed in step 5. Anionic gold nanoparticles with mean diameter of 2 nm were produced by a method of Duff (D. G. Duff, A. Baiker, P. P. Edwards, *Langmuir* 1993, 9, 2301) and were attached to polycation layer by electrostatic attraction. The

30 gold colloid decorated particles were then redispersed in a coating solution. The

coating solution was a one-day aged solution of 25mg potassium carbonate and 1.5mL of 25mM chloroauric acid in 100mL of water. The amount of solution required was calculated so that growth of the colloidal gold seed particles would coalesce to form a complete shell. To cause this coalescence, a reducing agent such 5 as sodium borohydride, formaldehyde or hydroxylamine hydrochloride was used. The resulting particles had an absorption spectrum characteristic of that of a plasmon resonant article with a dielectric core.

Another embodiment of the invention is to coat the magnetic particles with a luminescent material according to the following method. The magnetic nanoparticles 10 synthesised as described in Steps 1-5 were coated with europium-yttrium basic carbonate. This particle could then be heat treated to form magnetic nanoparticles that emit visible light. In one embodiment a rare earth doped oxide, in this case europium-doped yttrium oxide, is formed in situ on the particles. This can be achieved by immersing the particles in an aqueous solution of salts of yttrium and 15 europium along with urea or other compound which decomposes under the reaction conditions and forms a basic carbonate and heated. The basic carbonate which first forms can then be converted into the doped oxide by calcination. For this purpose the outer shell coated particles were aged at 90°C in a 16mM yttrium chloride, 0.8mM europium chloride and 0.48M urea solution. The amount of this solution 20 needed was calculated based on the thickness of shells required and the concentration of the outer shell particles. Coatings as thick as 150 nm could be prepared this way. The particles were washed and dried and then calcined at up to 600°C to convert the coating to yttrium oxide doped with europium.

Another method embodying the invention is to dry solutions of particles 25 according to the invention in the presence of a linear magnetic field. The particles will spontaneously align into 1D chains which can act as nanowires.

Applications of particles according to this invention include utilising the fact that the particles exhibit the superparamagnetic effect and so respond to a magnetic field gradient and tend to line up along magnetic field lines. This enables the 30 particles to be manipulated and also to affect fluid properties, such as viscosity. Thus

the particles could be utilised in a drug delivery vehicle, either *in vivo*, or as part of a fixed system which releases drug in response to a magnetic field. Particles could also be utilised in cell sorting. Other biomedical uses include as a magnetic resonance imaging contrast agent and a magneto-optic bio-tag. Opto-electronic applications 5 include use of appropriately coated particles as a phosphor, and plasmon resonant particles, such as those with a gold coating, can be used as a waveguide to allow energy to be transmitted, for example for coupling into an optical fibre. Tuning of the plasmon resonance to the near infrared can enable the particles to absorb energy subcutaneously to provide a heating effect, such as for drug-delivery.

10 Particles according to the invention also have applications in mechanical engineering, such as for crack detection using magnetic particles coated with phosphor or other fluorescent material.

15 Particles according to the invention are potentially useful in all applications which already utilise pure particles of the material which forms the outer shell (for example silicon dioxide). The added inherent magnetic properties may therefore add value to such applications.

CLAIMS

1. A particle comprising a core surrounded by a shell which comprises a plurality of nanoparticles of a magnetic material, the shell being surrounded by a continuous outer shell which comprises a non-magnetic material.  
5
2. A particle according to claim 1, wherein the core size is in the range of from 50 nm to 10  $\mu$ m.
3. A particle according to claim 1 or 2, wherein the core comprises a non-magnetic material.  
10
4. A particle according to any one of the preceding claims, wherein the core comprises at least one of silicon dioxide, titanium dioxide, yttrium oxide, yttrium basic carbonate, hematite, alumina and a silicate.
5. A particle according to any one of the preceding claims, wherein the thickness of the shell of nanoparticles of magnetic material is in the range of from 2 nm to one fifth of the core size.  
15
6. A particle according to any one of the preceding claims, wherein the shell comprises a monolayer of nanoparticles.
7. A particle according to any one of the preceding claims, wherein the magnetic material comprises one or more selected from the group consisting of iron, cobalt, nickel, magnetite, maghemite and ferrite.  
20
8. A particle according to any one of the preceding claims, further comprising an inner shell between the core and the shell of nanoparticles of magnetic material.
9. A particle according to claim 8, wherein the thickness of the inner shell is in the range of from approximately 1 to 3 nm.  
25
10. A particle according to claim 8 or 9, wherein the inner shell comprises at least one layer of polyions.
11. A particle according to any one of claims 8, 9 or 10, wherein the inner shell comprises a plurality of layers of polyions, and wherein the polyions of successive layers are of alternating polarity.  
30

12. A particle according to any one of claims 8 to 11, wherein polyions comprising the inner shell are derived from one or more polyelectrolytes selected from the group consisting of poly(diallyldimethyl ammonium chloride), poly(sodium styrene sulfonate), polyallylamine hydrochloride, and polyethylenimine.

5 13. A particle according to claim 12, wherein the thickness of the outer shell is in the range of from approximately 1 to 200 nm.

14. A particle according to claim 12 or 13, wherein the outer shell comprise at least one of silicon dioxide, titanium dioxide, yttrium oxide, yttrium basic carbonate and a silicate.

10 15. A particle according to any one of the preceding claims, further comprising a coating over the outer shell selected from the group consisting of a metal and a luminescent material.

16. A particle according to claim 15, wherein said metal comprises gold.

17. A particle according to claim 15, wherein said luminescent material 15 comprises yttrium oxide doped with europium.

18. A particle according to any one of the preceding claims, comprising a plurality of shells of nanoparticles of magnetic material.

19. A 1D chain comprising a plurality of particles according to any of the preceding claims.

20 20. A process for preparing a magnetic particle comprising:  
a first step of providing a core;  
a second step of adsorbing an inner shell to the core;  
a third step of providing a plurality of nanoparticles of a magnetic material;  
a fourth step of adsorbing the nanoparticles to the inner shell; and  
25 a fifth step of synthesising an outer shell surrounding the particle.

21. A process according to claim 21, wherein the fourth step is carried out using a short-chain alcohol as solvent.

22. A process according to claim 22, wherein the solvent is ethanol.

23. A process according to claim 20, 21 or 22, wherein the fourth and fifth 30 steps are carried out using the same solvent.

24. A process according to any one of claims 20 to 23, wherein the fifth step is carried out under ultrasonic agitation.

25. A process according to claim 24, wherein the ultrasonic agitation is at a frequency in the range of from approximately 40 to 80 kHz.

5 26. A process according to any one of claims 20 to 25, wherein the second step comprises adsorbing polyions provided from a solution of polyelectrolyte and an inorganic salt.

10 27. A process according to any one of claims 20 to 26, wherein the second step comprises layer by layer growth of polyions of alternate polarity to form the inner shell.

15 28. A process according to any one of claims 20 to 27, wherein said fourth step comprises mixing a solution of coated core particles derived from the second step with a solution of nanoparticles of magnetic material, wherein the number of core particles and number of nanoparticles in said mixed solutions are calculated such that substantially complete coverage of each core particle with a shell of nanoparticles is enabled.

20 29. A process according to any one of claims 20 to 28, wherein in said fifth step the amount of material to form the outer shell is calculated taking into account the desired thickness of the outer shell and the space between the nanoparticles of magnetic material.

30. A process according to any one of claims 20 to 29, wherein the fifth step comprises using a sol-gel method to produce the outer shell.

31. A process according to any one of claims 20 to 30, further comprising forming a coating onto the particle surrounding the outer shell.

ABSTRACT

MAGNETIC PARTICLE AND PROCESS FOR PREPARATION

A particle is disclosed which comprises a core surrounded by a shell which

5      comprises a plurality of nanoparticles of a magnetic material. The particle can be  
prepared by a process comprising: a first step of providing a core; a second step of  
adsorbing an inner shell to the core; a third step of providing a plurality of  
nanoparticles of a magnetic material; a fourth step of adsorbing the nanoparticles to  
the inner shell; and a fifth step of synthesising an outer shell surrounding the particle.

10     The particle can exhibit the effective bulk properties of a superparamagnetic particle,  
and the effective surface properties of a non-magnetic particle.

111

Fig. 1

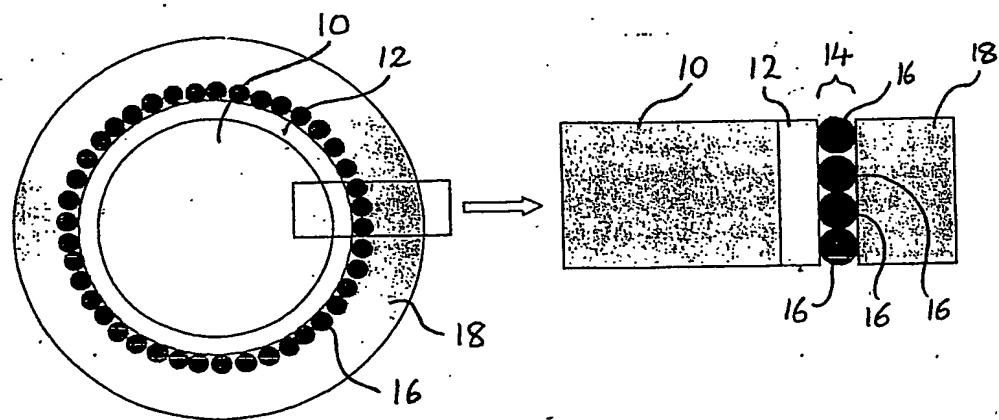


Fig. 2

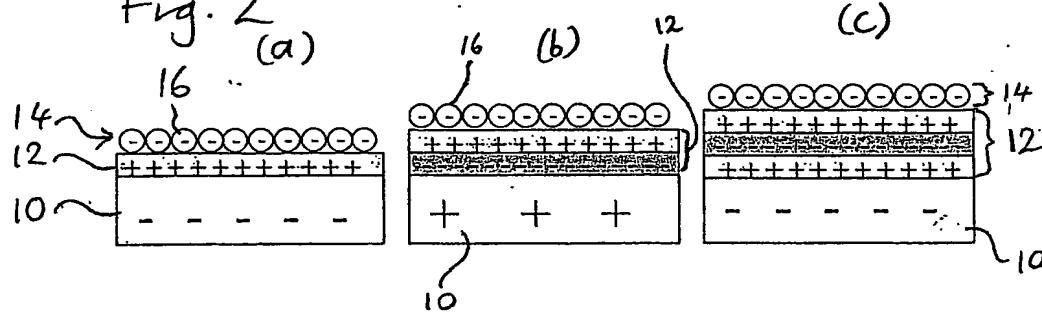
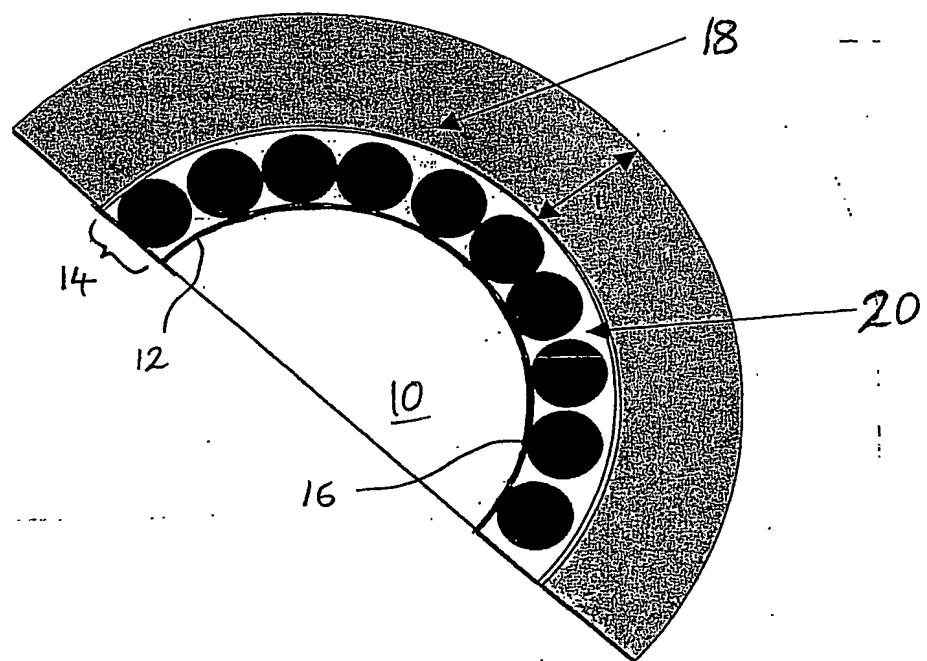


Fig. 3



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**